Enhanced Proliferation Control Initiative (EPCI) Form

This form must be completed by the end user (install site). Wind River Employees, Partners, or Resellers are prohibited from completing this form for the end user.

Upon Wind River's acceptance of the representation made herein, the assurance will remain in effect TWO YEARS from the date of signature. The submission of an EPCI Form, though required, does not overcome the license requirement for certain end users. If you have questions, please contact: export@WindRiver.com

<u>Provide all information in English</u>. Submissions containing abbreviations, acronyms or a P.O. Box number will be considered invalid. Incomplete or inaccurate completion may cause delay or cancellation of the transaction.

I, the undersigned, as a duly authorized representative of the organization identified below, understand and agree to the conditions set forth below regarding the usage of Wind River hardware and/or software shipped from the U.S. or are of U.S. Origin. I understand that failure to fully complete this form may result in a delay or cancellation of the order.

Preparer's Full Name	
Preparer's Email Address	
Preparer's Telephone Number	
Purchaser Company Name and Complete Address	
Ship to Company Name and Complete Address	
End User Company Name and Complete Address	
End User Company Website	
Installation Name and Complete Address (Only required if installation location is different than the End User)	

SECTION I

NATURE OF BUSINESS OF END-USER

Please indicate in the spaces provided whether the facility identified below is engaged, directly or indirectly, in any of the following activities:

GOVERNMENT, MILITARY, OR EDUCATIONAL INSTITUTION

a. Are you a Government entity or are the Wind River prod intended for Government end use?	ucts YES □	NO 🗆			
b. Are you a Military entity or are the Wind River products i a Military end use?	ntended for YES	NO 🗆			
c. Educational Institution (e.g., Academy, Institution, Unive	rsity, etc.) YES 🗆	NO 🗆			
NUCLEAR WEAPON	15				
a. Designing, developing, fabrication or testing nuclear wea nuclear explosive devices	apons or YES 🗆	NO 🗆			
b. Designing, construction, fabrication, or operating the follo facilities, or components for such facilities:	owing				
 Facilities for the chemical processing of irradiated spe- or source material. 	cial nuclear YES □	NO 🗆			
ii. Facilities for the production of heavy water.	YES 🗆	NO 🗆			
iii. Facilities for the separation of isotopes of special nucle	ear material. YES 🗆	NO 🗆			
 iv. Facilities for the fabrication of nuclear reactor fuel cont plutonium. 	taining YES □	NO 🗆			
MISSILES					
a. Designing, developing, production of or using rocket sys launch vehicles, and sounding rockets, or unmanned air systems (including cruise missile systems, target drones reconnaissance drones), which are capable of delivering kilograms payload with a range of at least 300 kilometer	vehicle s and YES □ g at least 500	NO 🗆			
CHEMICAL/BIOLOGICAL WEAPONS					
a. Designing, developing, production, stockpiling, or using biological weapons or precursors.	chemical or YES □	NO 🗆			

SECTION II

DESCRIPTION OF BUSINESS

Describe in detail the general nature of your business. Provide any information that will help us understand your business.

Describe how you intend to use Wind River products. Please be detailed as possible (examples of Research and Development is not sufficient).

SECTION III

EXPORT & RE-EXPORT ASSURANCE

Demonstrate agreement by selecting boxes:

- 1. WE AGREE that Wind River products may not be exported/re-exported to Cuba, Iran, North Korea, Sudan, Syria or any other country embargoed or otherwise prohibited by the United States.
- 2. WE AGREE not to resell, transfer, or re-export Wind River products including source code without prior authorization from Wind River and/or the U.S. Government to any party or destination not eligible to receive U.S. commodities, software, and technical data.

SECTION IV

FUTURE END-USE ASSURANCE

Demonstrate agreement by selecting boxes.

The undersigned certifies that the Wind River commodities, software and technical data that are acquired will not be used directly or indirectly in the following activities:

- □ 1. Designing, developing or fabricating nuclear weapons or nuclear explosive devices; or devising, carrying out, or evaluating nuclear tests or nuclear explosions.
- □ 2. Designing, assisting in the design of, constructing, fabricating, or operating facilities for the chemical processing of irradiated special nuclear material; for the production of heavy water; for the separation of isotopes of any source and special nuclear material or specially designed for the fabrication of nuclear reactor fuel containing plutonium.
- □ 3. Designing, assisting in the design of, constructing, fabricating, furnishing or modifying equipment for the fabrication of chemical or biological weapons, chemical precursors, viruses, viroids, bacteria, fungi or protozoa.
- □ 4. Designing, assisting in the design of, constructing, fabricating or furnishing equipment for components specially designed, modified or adapted for use in such facilities.
- □ 5. Training personnel in any of the above activities.

SECTION V

POST SHIPMENT VERIFICATION AGREEMENT

Select applicable answer:

	WE AGREE to allow Wind River personnel, or an agreed-upon third party agent, to perform on-site post-shipment verifications within 6-9 months following order fulfillment.	YES 🗆	NO 🗆
a.	WE AGREE not to process or incorporate Wind River products and technology into secondary products that contribute to the design, development, production, stockpiling or use of nuclear, chemical/biological weapons, or missiles.	YES 🗆	NO 🗆

b.

SECTION VI

CERTIFICATION

SIGNATURE: By signing this form, you are attesting to the accuracy of the information reported and acknowledging your organization's acceptance and agreement of the listed conditions.	
Title:	
Company:	
Print Name:	
Date:	

Completed forms should be scanned and sent in .pdf form to export@windriver. com